

**SERVICE
AGREEMENT
CONTRACT**



For WDB Use Only
Contract No.
PY-16-006

1. This Contract is entered into between the Workforce Development Board of Solano County and Racy Ming for the performance of developing and finalizing a Workforce Innovation and Opportunity Act (WIOA) Regional Plan for the Workforce Development Board of Solano, Sonoma, and Mendocino counties and the Joint Powers Agency representing Napa, Lake and Marin counties.
2. The term of this Contract is: October 1, 2016 through March 15, 2017
3. The maximum amount of this contract is: **\$50,758** to accomplish the work activities described in Exhibit A.

The parties agree to comply with the terms and conditions of the following exhibits which are by this reference made a part of this Contract:

- Exhibit A- Scope of Work
- Exhibit B- Budget Detail and Payment Provisions
- Exhibit C- General Terms and Conditions
- Exhibit D- Special Terms and Conditions

**APPROVED FOR THE WORKFORCE
DEVELOPMENT BOARD**

BY: [Signature]
(Signature, WDB Duly Authorized Representative)

NAME: Robert L. Bloom

TITLE: President/Executive Director

DATE: 10/31/16

ADDRESS:
320 Campus Lane
Fairfield, CA 94534
(707) 864-3501

APPROVED BY THE CONTRACTOR

BY: [Signature]
(Signature, Contractor's Duly Authorized Representative)

NAME: Racy Ming
(Printed Name of Signatory)

TITLE: Consultant

DATE: 10/14/2016

ADDRESS:
5291 County Lane
San Jose, CA 95129
(707) 888-4919

Approved as to Form:
Solano County Counsel

[Signature]

EXHIBIT A SCOPE OF WORK

I. CONTRACTOR SHALL BE RESPONSIBLE FOR THE FOLLOWING DUTIES:

A. AVAILABILITY TO PERFORM SERVICES

- a. Plan and administer all contracted services;
- b. Provide service sustainability for the duration of the Contract;
- c. Provide services during normal and adjusted business days, hours and locations appropriate to complete all deliverables outlined in the Contract;
- d. Have and/or quickly develop a working understanding of the North Bay economy and labor market; and
- e. Have experience with, and needed skills to develop a Plan that is both strategic and technically compliant.

B. SERVICE ACTIVITIES

Work closely with the Directors of the four (4) Northern San Francisco Bay Area Workforce Development Boards (WDBs), composed as the North Bay Employment Connection (NBEC) Steering Committee. The NBEC Steering Committee includes WDB's in Solano, Sonoma, Mendocino and the Joint Powers Agency representing Napa, Marin and Lake Counties.

Contract activities include:

- a. Research and develop a basic understanding of the federal and state requirements for a compliant Workforce Innovation and Opportunity Act (WIOA) Regional Plan ("Plan");
- b. Develop and conduct a series of local forums to gather needed input from workforce development system partner agencies, stakeholders and beneficiaries;
- c. Facilitate a consensus-building effort that summarizes input, focuses on Plan requirements, builds regional cooperation and collaboration, and prompts timely decision-making; and
- d. Compose both a draft Plan document (for review/edits, and public comment opportunity); and a final compliant Plan for submittal to the California Workforce Development Board (CWDB) by the March 15, 2017 submittal deadline.

C. RESPONSE TIME

Meet all Contract deliverables as described in the Contract per the established due dates. Contractor shall travel widely within the region and attend critical meetings with stakeholders and the NBEC Steering Committee.

D. ADMINISTRATION

1. Maintenance of Effort

Contractor assures that services provided and funds received under this Contract will not supplant existing services or funds allocated for the same purpose.

2. Successors

Inasmuch as it affects this Contractor, should the Contractor sell or otherwise relinquish all of the ownership of the Contractor corporation during the course of this Contract, any future owner(s) of the corporation will agree to be bound by the stipulated provisions for the length of the Contract.

3. Time is of the Essence of this Contract

Time is of the essence in all terms and conditions of this Contract.

4. Deliverables

The Contractor shall conduct/provide the following specific services, activities and products under this Contract according to the following schedule.

Research

Develop and exercise working knowledge of WIOA law/regulations, the State Strategic Plan, State EDD Directive WSDD 146, and other related federal or state issuances on required WIOA Regional Plans.

Review existing relevant documents for the NBEC collaborative and associated North Bay regional workforce initiatives, including but not limited to Career Pathway projects, Adult Education Block Grant (AEBG) efforts and the North Bay Business Sector Alliance project.

Identify and map what materials and resources already exist for the North Bay, which align with the State Strategic Plan and are required elements of the WIOA Regional Plan

Deliverables	Total Hours and Due Dates
Summary for NBEC of areas needed for the regional plan, existing documents, and gap analysis for areas needing further research; and	25 hours No later than 10-28-16
Schedule and plan for ensuring "Plan input" stage.	

Gather And Assemble Plan Input

Construct and carryout a comprehensive partner/state-holder/community input process that includes the following:

- Hold from 4 to 7 forums across the North Bay area (with planning/logistical support from the local WDBs);
- Attend key WDB meetings throughout the contract period;
- Gain input on:
 - Each areas local workforce needs and suggested solutions;
 - and,
 - Best possible alignment of programs with employer needs.
- Compile and report on findings/recommendations.

Phase I Deliverables	Total Hours and Due Dates
Format guiding questions and participatory exercises to be used at each forum;	80
Conduct all stake holder input forums; and,	No later than 11/30/16
Compile results of stake holder discussions	

Phase II Deliverables	Total Hours and Due Dates
Attendance at WDB or other key meetings; and	80
Summarize overall regional findings and recommendations.	No later than 12/30/16

Consensus-building

Facilitate discussions/decision-making/consensus-building within NBEC Steering Committee.

- Participate in monthly NBEC Steering Committee meetings, and needed conference calls; and
- Assure alignment with emerging Local Plans

Deliverables	Total Hours and Due Dates
Perform individual check-ins with WDB directors;	40
Identify and present common threads around which consensus can be arrived at;	No later than 1/30/17
Assist in resolution of differing identified needs and strategies, so as to foster consensus; and	

Review emerging local plans to ensure alignment (contingent upon local WDB plans being timely available.	
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Plan Development

Develop and complete a written Plan, including:

- Prepare DRAFT Plan for NBEC Steering Committee
- Assist with 30 day public comment period and local Plan approvals by WDBs and elected officials;
- Finalize the Plan for submittal to the State.

Deliverables	Total Hours and Due Dates
Prepare and submit to the NBEC Steering Committee the following Plan Documents	60
• A Draft of the Plan • A final draft Plan for public comment • A proposed final Plan with comments ready for submittal to the State	12/31/16 01/31/17 03/13/15

**EXHIBIT B
PROJECT BUDGET SUMMARY**

DOCUMENTATION OF SERVICES	MAXIMUM # OF HOURS	DELIVERY AND PAYMENT DATE	TOTAL BUDGET
RESEARCH - Deliverables 1) Summary for NBEC directors of state requirements, existing information, and gap analysis 2) Schedule and plan for stakeholder input, as agreed to by NBEC	25 (\$175 per hour)	No later than 10/28/16	\$ 4,375.
GATHER AND ASSEMBLE PLAN INPUT – PHASE 1 – Deliverables Written summary of input from sessions and meetings in November 2016	80 (\$175 per hour)	No later than 11/30/16	\$14,000.
GATHER AND ASSEMBLE PLAN INPUT – PHASE 2 – Deliverables Written summary of input from sessions and meetings in December 2016	80 (\$175 per hour)	No later than 12/30/16	\$14,000.
CONSENSUS-BUILDING – Deliverables MOU agreed upon by all the Regional Planning Unit (RPU) member counties. The MOU shall meet the federal requirements pertaining to the establishment of administrative cost arrangements, by outlining pooled resources to meet any of the State Plan requirements of the RPU	40 (\$175 per hour)	No later than 01/31/17	\$ 7,000.

PLAN DEVELOPMENT	60	No later than:	
1) Draft plan for NBEC directors review by December 30, 2016	(\$175 per hour)	1 – 12/30/16	\$7,000.
2) Final draft for public comment by January 31, 2017		2 – 01/31/17	\$1,500.
3) Final Plan including public comment for submittal to the state by March 13, 2017		3 – 03/13/17	\$1,500.
TRAVEL	1,635 Miles (.54 per mile)		\$ 883.
TOTAL	285		\$50,758.

1. The above amounts are contingent upon acceptance of all required deliverables and shall be compensation for all time and expenses incurred by Contractor. Contractor will not be reimbursed for any out of pocket costs not associated with the deliverables outlined in this contract.
2. Contractor shall submit monthly invoices detailing work performed for each deliverable detailed in the Scope of Work (Exhibit A) and amount payable to the WDB President/Executive Director. The payment shall be made only after the services required under this Contract have been performed to the satisfaction of the WDB President/Executive Director and agreement of the NBEC Steering Committee.
3. Contractor shall provide any additional documentation as required by the WDB at any time in order to substantiate its claims for payment. WDB may elect to withhold payment for failure by the Contractor to provide such documentation required by the WDB.
4. The maximum payment under the terms of this contract amendment shall under no circumstances, exceed **\$50,758** for the related expenses unless this contract is modified in accordance with Section 26 in Exhibit C.

Workforce Development Board of Solano

County General Terms and Conditions

1. Closing Out

The WDB will pay the Contractor's final request for payment providing Contractor has paid all financial obligations undertaken pursuant to this Contract. If Contractor has failed to pay all obligations outstanding, WDB will withhold from the Contractor's final request for payment, the amount of such outstanding financial obligations owed by Contractor. Contractor is responsible for WDB receipt of a final request for payment 30 days after termination of this contract.

2. Time

Time is of the essence in all terms and conditions of this Contract.

3. Time of Performance

Work will not begin, nor claims paid for services under this Contract until all Certificates of Insurance, business and professional license/certificates, IRS ID number, signed W-9 form, or other applicable licenses or certificates are on file with the WDB's Planning Unit.

4. Termination

- a. This Contract may be terminated by WDB or Contractor, at any time with or without cause, upon 30 days written notice from one to the other.
- b. WDB may terminate this Contract immediately upon notice of Contractor's malfeasance.
- c. Following termination, WDB will reimburse Contractor for all expenditures made in good faith that are unpaid at the time of termination not to exceed the maximum amount payable under this Contract unless Contractor is in default of this Contract.

5. Signature Authority

The parties executing this Contract certify that they have the proper authority to bind their respective entities to all terms and conditions set forth in this Contract.

6. Representations

- a. WDB relies upon Contractor's professional ability and training as a material inducement to enter into this Contract. Contractor represents that Contractor will perform the work according to generally accepted professional practices and standards and the requirements of applicable federal, state and local laws. WDB SC's acceptance of Contractor's work shall not constitute a waiver or release of Contractor from professional responsibility.

- b. Contractor further represents that Contractor possesses current valid appropriate licensure, including, but not limited to driver's license, professional license, certificate of tax-exempt status, or permits, required to perform the work under this Contract.

7. Insurance

- a. Without limiting Contractor's obligation to indemnify WDB, Contractor must procure and maintain for the duration of the Contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work under this Contract and the results of that work by Contractor, Contractor's agents, representatives, employees or subcontractors.
- b. Minimum Scope of Insurance:
- Coverage must be at least as broad as:
- (1) Insurance Services Office Commercial General Liability coverage (occurrence Form CG 0001)
 - (2) Insurance Services Office Form Number CA 00 01 covering Automobile Liability, code 1 (any auto)
 - (3) Workers' Compensation insurance as required by the State of California and Employer's Liability Insurance.
- c. Minimum Limits of Insurance
Contractor must maintain limits no less than

1. General Liability: (Including operations, products and completed operations.)	\$1,000,000	per occurrence for bodily injury, personal injury and property damage, or the full per occurrence limits of the policy, whichever is greater. If Commercial General Liability insurance or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to this project/location or the general aggregate limit shall be twice the required occurrence limit.
2. Automobile Liability: Aggregate	\$1,000,000 \$2,000,000	per accident for bodily injury and property damage
3. Workers' Compensation		as required by the State of California
4. Employers Liability Aggregate	\$1,000,000 \$2,000,000	Per accident for bodily injury of disease.

d. Additional Insurance Coverage

To the extent coverage is applicable to Contractor's services under this Contract, Contractor must maintain the following insurance coverage:

1. Cyber Liability:	\$1,000,000	per incident with the aggregate limit of twice the required limit
2. Professional Liability: Aggregate	\$1,000,000 \$2,000,000	combined single-limit per claim and in The aggregate. The policy shall remain in full force and effect for no less than 3 years following the completion of work under this Contract

- e. If Contractor maintains higher limits than the minimums shown above, WDB is entitled to coverage for the higher limits by Contractor.

f. Deductibles and Self-Insured Retentions

Any deductibles or self-insured relations must be declared to and approved by the WDB. At the option of the WDB, either:

- (1) The insurer will reduce or eliminate such deductibles or self-insured retentions with respect to WDB, its officers, officials, agents, employees and volunteers; or;
- (2) Contractor must provide a financial guarantee satisfactory to WDB guaranteeing payment of losses and related investigations, claim administration, and defense expenses.

g. Other Insurance provisions

The general liability and automobile liability policies must contain, or be endorsed to contain, the following provisions:

- (1) The WDB of Solano County, its officers, officials, agents, employees, and volunteers must be included as additional insured with respect to liability arising out of automobiles owned, leased, hired or borrowed by or on behalf of Contractor; and with respect to liability arising out of work or operations performed by or on behalf of Contractor including materials, parts or equipment furnished in connection with such work or operations. General Liability coverage shall be provided in the form of an Additional Insured endorsement. The insurance afforded to the additional insured shall be at least as broad as that afforded to the first named insured.
- (2) For any claims related to work performed under this Contract, Contractor's insurance coverage must be primary insurance with respect to the WDB- SC of Solano County, its officers, agents, employees, or volunteers is excess of Contractor's insurance and shall not contribute to it.

- (3) Should any of the above described policies be cancelled prior to the policies' expiration date, Contractor agrees that notice of cancelation will be delivered in accordance with the policy provisions.

h. Waiver of Subrogation

- (1) Contractor agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation.
- (2) The Workers' Compensation policy must be endorsed with a waiver of subrogation *in favor of the WDB* for all work performed by Contractor, its employees, agents and subcontractors.

i. Acceptability of Insurers

Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A:VII unless otherwise acceptable to the WDB.

j. Verification of Coverage

- (1) Contractor must furnish WDB with original certificates and endorsements effecting coverage required by this Contract.
- (2) The endorsements should be on forms provided that conform to the WDB's requirements and acceptable to the WDB.
- (3) WDB must receive and approve all certificates and endorsements before work commences.
- (4) However, failure to do so shall not operate as a waiver of these insurance requirements.
- (5) WDB reserves the right to require complete, certified copies of all required insurance policies, including endorsements affecting the coverage required by these specifications at any time.

8. Best Efforts

Contractor represents that Contractor will at all times faithfully, industriously and to the best of its ability, experience and talent, perform to the WDB's reasonable satisfaction.

9. Default

- a. If Contractor defaults in Contractor's performance, WDB shall promptly notify Contractor in writing. If Contractor fails to cure a default within 30 days after notification or if the default requires more than 30 days to cure and Contractor fails to commence to cure the default within 30 days after notification, then Contractor's failure shall terminate this Contract.

- b. If Contractor fails to cure default within the specified period of time, WDB may elect to cure the default and any expense incurred shall be payable by Contractor to WDB.
- c. If WDB serves Contractor with a notice of default and Contractor fails to cure the default, Contractor waives any further notice of termination of this Contract.
- d. If this Contract is terminated because of Contractor's default, WDB shall be entitled to recover from Contractor all damages allowed by law.

10. Indemnification

- a. Contractor will indemnify, hold harmless and assume the defense of the WDB, its officers, employees, agents and board members from all claims, losses, damages, including property damages, personal injury, death and liability of every kind, directly or indirectly arising from Contractor's operations or from any persons directly or indirectly employed by, or acting as agency for, Contractor, excepting the negligence or willful misconduct of the WDB. This indemnification shall extend to claims, losses, damages, injury and liability for injuries occurring after completion of Contractor's services, as well as during the progress of rendering such services.
- b. Acceptance of insurance required by this Contract does not relieve Contractor from liability under this indemnification clause. This indemnification clause shall apply to all damages or claims for damages suffered by Contractor's operations, regardless if any insurance is applicable or not.

11. Independent Contractor

- a. Contractor is an independent contractor and not an agent, officer or employee of the WDB. The parties mutually understand that this Contract is between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture or association.
- b. Contractor shall have no claim against WDB for employee rights or benefits including, but not limited to, seniority, vacation time, vacation pay, sick leave, personal time off, overtime, medical, dental or hospital benefits, retirement benefits, Social Security, disability, Workers' Compensation, unemployment insurance benefits, civil service protection, disability retirement benefits, paid holidays or other paid leaves of absence.
- c. Contractor is solely obligated to pay all applicable taxes, withholding, Social Security, unemployment, disability insurance, Worker's Compensation and Medicare payments.
- d. Contractor shall indemnify and hold WDB harmless from any liability which WDB may incur because of Contractor's failure to pay such obligations, as set forth in this paragraph.

- e. As an independent contractor, Contractor is not subject to the direction and control of the WDB except as to the final result contracted for under this Contract. WDB may not require Contractor to change Contractor's manner of doing business, but may require redirection of efforts to fulfill this Contract.
- f. Contractor may provide services to others during the same period Contractor provides service to WDB under this contract.
- g. Any third persons employed by Contractor shall be under Contractor's exclusive direction, supervision and control. Contractor shall determine all conditions of employment including hours, wages, working conditions, discipline, hiring and discharging or any other condition of employment.
- h. As an independent contractor, Contractor shall indemnify and hold WDB harmless from any claims that may be made against WDB based solely on the contention by a third party that an employer-employee relationship exists under this Contract. Notwithstanding this provision, to the extent that any claim, as described in this subsection, is based on alleged negligence or willful misconduct of WDB, Contractor shall have no duty to indemnify and hold WDB-SC harmless for that particular claim.
- i. Contractor, with full knowledge and understanding of the foregoing, freely, knowingly, willingly and voluntarily waives the right to assert any claim to any right or benefit or term or condition of employment insofar as they may be related to or arise from compensation paid hereunder.

12. Responsibilities of Contractor

- a. The parties understand and agree that Contractor possesses the requisite skills necessary to perform the work under this Contract and WDB relies upon such skills. Contractor pledges to perform the work skillfully and professionally. WDB's acceptance of Contractor's work does not constitute a release of Contractor from professional responsibility.
- b. Contractor verifies that Contractor has reviewed the scope of work to be performed under this Contract and agrees that the contractor's professional judgment, the work can and shall be completed for costs within the maximum amount set forth in this Contract.
- c. To fully comply with the terms and conditions of this Contract, Contractor shall:
 - (1) Establish and maintain a system of accounts for budgeted funds that complies with generally accepted accounting principles for government agencies;
 - (2) Document all costs by maintaining complete and accurate records of all financial transactions associated with this Contract, including, but not limited to, invoices and other official documentation that sufficiently support all charges under this Contract;
 - (3) Submit monthly reimbursement claims for expenditures that are directly associated with the NBBSA grant;

- (4) Be liable for repayment of any disallowed costs identified through quarterly reports, audits, monitoring or other sources; and,
- (5) Retain financial, programmatic, client data and other service records for three (3) years from the date of the end of the contract award or for three (3) years from the date of termination, whichever is later.

13. Compliance with Law

- a. Contractor shall comply with all federal, state and local laws and regulations applicable to Contractor's performance, including, but not limited to, licensing, employment and purchasing practices, wages, hours and conditions of employment.
- b. Contractor represents that it will comply with the applicable cost principles and administrative requirements including claims for payment or reimbursement by WDB-SC as set forth in 2 CFR 200, as currently enacted or as may be amended throughout the term of this Contract.

14. Confidentiality

- a. Contractor shall prevent unauthorized disclosure of names and other client-identifying information, except for statistical information not identifying a particular client.
- b. Contractor shall not use client specific information for any purpose other than carrying out Contractor's obligations under this Contract.
- c. Contractor shall promptly transmit to WDB all requests for disclosure of confidential information related to this Contract.
- d. Except as otherwise permitted by this Contract or authorized by the client, Contractor shall not disclose any confidential information related to this Contract to anyone other than the State of California without prior written authorization from WDB.
- e. For purposes of this section, identity shall include, but not be limited to, name, identifying number, symbol or other client identifying particulars, such as fingerprints, voice print or photography. Client shall include individuals receiving services pursuant to this Contract.

15. Conflict of Interest

- a. Contractor represents that Contractor and/or Contractor's employees and/or their immediate families and/or Board of Directors and/or officers have no interest, including, but not limited to, other projects or independent contracts, and shall not acquire any interest, direct or indirect, including separate contracts for the work to be performed hereunder, which conflicts with the rendering of services under this Contract. Contractor shall employ or retain no such person while rendering services under this Contract. Services rendered by Contractor's associates or employees shall not relieve Contractor from personal responsibility under this clause.
- b. Contractor has an affirmative duty to disclose to the WDB in writing the name(s) of any person(s) who have an actual, potential or apparent conflict of interest.

16. Drug Free Workplace

Contractor represents that Contractor is knowledgeable of Government Code section 8350 et seq., regarding a drug free workplace and shall abide by and implement its statutory requirements.

17. Health and Safety Standards

Contractor shall abide by all health and safety standards set forth by the State of California.

18. Child/Adult Abuse

If services pursuant to this Contract will be provided to children and/or elder adults, Contractor represents that Contractor is knowledgeable of the Child Abuse and Neglect Reporting Act (Penal Code section 11164 et seq.) and the Elder Abuse and Dependent Adult Civil Protection Act (Welfare and Institutions Code section 15600 et. seq.) requiring reporting of suspected abuse.

19. Inspection

Authorized representatives of WDB, the State of California and/or the federal government may inspect and/or audit Contractor's performance, place of business and or records pertaining to this Contract.

20. Nondiscrimination

- a. In rendering services under this Contract, Contractor shall comply with all applicable federal, state and local laws, rules and regulations and shall not discriminate based on age, ancestry, color, gender, marital status, medical condition, national origin, physical or mental disability, race, religion, sexual orientation or other protected status.
- b. Further, Contractor shall not discriminate against its employees, which includes but is not limited to, employment upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and selection for training, including apprenticeship.

21. Subcontractor and Assignment

- a. Services under this Contract are deemed to be personal services.
- b. Contractor shall not subcontract any work under this Contract nor assign this Contract or monies due without the prior written consent of the WDB's Business & Resource Services Manager, applicable Division Manager or his/her designee and the President/Executive Director subject to any required state or federal approval.
- c. If WDB consents to the use of subcontractors, Contractor shall require and verify that its subcontractor maintain insurance meeting all of the requirements stated in Section 7 above.
- d. Assignment by Contractor of any monies due shall not constitute an assignment of the Contract.

22. Unforeseen Circumstances

Contractor is not responsible for any delay caused by natural disaster, war, civil disturbance, labor dispute or other cause beyond Contractor's reasonable control, provided Contractor gives written notice to WDB of the cause of the delay within ten (10) days of the start of the delay.

23. Notice

- a. Any notice necessary to the performance of this Contract shall be given in writing by personal delivery or by prepaid first-class mail addressed as stated on the first page of this Contract.
- b. If notice is given by personal delivery, notice is effective as of the date of personal delivery. If notice is given by mail, notice is effective as of the day following the date of mailing or the date of delivery reflected upon a return receipt, whichever occurs first.

24. Nonrenewal

Contractor acknowledges that there is no guarantee that WDB will renew Contractor's services under a new contract following expiration or termination of this Contract. Contractor waives all rights to notice of non-renewal of Contractor's service.

25. WDB's Obligation Subject to Availability of Funds

- a. The WDB's obligation under this Contract is subject to the availability of authorized funds. The WDB may terminate the Contract, or any part of the Contract work, without prejudice to any right or remedy to the WDB, for lack of appropriation of funds. If expected or actual funding is withdrawn, reduced or limited in any way prior to the expiration date set forth in this Contract, or any subsequent amendment, the WDB, may upon written Notice to the Contractor, terminate this Contract in whole or in part.
- b. Payment shall not exceed the amount allowable for appropriation by the Board of Directors of the Workforce Development Board of Solano County and ratified by the County of Solano Board of Supervisors (over \$50,000). If the Contract is terminated for non-appropriation of funds:
 - (1) The WDB will be liable only for payment in accordance with the terms of this Contract for services rendered prior to the effective date of termination; and,
 - (2) The Contractor shall be released from any obligation to provide further services pursuant to this Contract that are affected by the termination.
- c. Funding for this Contract beyond the current appropriation year is conditional upon appropriation by the Board of Directors of the Workforce Development Board of Solano County of sufficient funds to support the activities described in this Contract. Should such an appropriation not be approved, this Contract will terminate at the close of the current Appropriation Year.

d. This Contract is void and unenforceable if all or parts of federal or state funds applicable to this Contract are not available to the WDB. If applicable funding is reduced, WDB may either:

- (1) Cancel this Contract; or,
- (2) Offer a contract amendment reflecting the reduced funding.

26. Changes and Amendments

- a. WDB may request changes in Contractor's scope of service. Any mutually agreed upon changes, including any increase or decrease in the amount of Contractor's compensation, shall be effective when incorporated in written amendments to this Contract.
- b. The party desiring the revision shall request amendments to the terms and conditions of this Contract in writing. Any adjustment to this Contract shall be effective only upon the parties' mutual execution of an amendment in writing.
- c. No verbal agreements or conversations prior to execution of this Contract or requested amendment shall affect or modify any of the terms or conditions of this Contract unless reduced to writing according to the applicable provisions of this Contract.

27. Choice of Law

The parties have executed and delivered this Contract in the County of Solano, State of California. The laws of the State of California shall govern the validity, enforceability or interpretation of this Contract. Solano County shall be the venue for any action or proceeding in law or equity that may be brought in connection with this Contract.

28. Health Insurance Portability and Accountability Act

Contractor represents that it is knowledgeable of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") and its implementing regulations issued by the U.S. Department of Health and Human Services (45 C.F.R. parts 160-64) regarding the protection of health information that may be obtained, created, or exchanged as a result of this Contract and shall abide by and implement its statutory requirements, if applicable.

29. Waiver

Any failure of a party to assert any right under this Contract shall not constitute a waiver or a termination of that right, under this Contract or any of its provisions.

30. Conflicts in the Contract Documents

The Contract documents are intended to be complementary and interpreted in harmony so as to avoid conflict. In the event of conflict in the Contract documents, the parties agree that the document providing the highest quality and level of service to the WDB shall supersede any inconsistent term in these documents.

31. Faith Based Organizations

- a. Contractor agrees and acknowledges that WDB may make funds available for programs or services affiliated with religious organizations under the following conditions: (1) the funds are made available on an equal basis as for programs or services affiliated with non-religious organizations; (2) the program funded does not support religious activities; (3) the organization complies with the terms and conditions of this Contract.
- b. Contractor agrees and acknowledges that WDB may not make funds available for programs or services affiliated with a religious organization that (1) has denied or continues to deny access to services on the basis of race, color, religion, ancestry, national origin, sex, citizenship, or known disability; (2) will use the funds for a religious purpose, (3) will use funds for a program or service that subject its participants to religious education.
- c. Contractor agrees and acknowledges that all recipients of funding from WDB must (1) comply with all legal requirements and restrictions imposed upon government funded activities set forth in Article IX, section 8 and Article XVI section 5 of the California Constitution and in the First Amendment to the United States Constitution; and (2) segregate such funding from all funding used for religious purposes.

32. Pricing

Should Contractor, at any time during the term of this Contract, provide the same goods or services under similar quantity, terms and conditions to one or more counties in the State of California at prices below those set forth in this Contract, then the parties agree to amend this Contract so that such lower prices shall be extended immediately to WDB for all future services.

33. Use of Provisions, Terms, Conditions and Pricing by Other Public Agencies

Contractor and WDB agree that the terms of this Contract may be extended to any other public agency located in the State of California, as provided for in this section. Another public agency wishing to use the provisions, terms, and pricing of this Contract to contract for equipment and services comparable to that described in this Contract shall be responsible for entering into its own contract with Contractor, as well as providing for its own payment provisions, making all payments, and obtaining any certificates of insurance and bonds that may be required. WDB is not responsible for providing to any other public agency any documentation relating this Contract or its implementation. Any public agency that uses provisions, terms, or pricing of this Contract shall be deemed to indemnify and hold harmless WDB- SC from all claims, demands, or causes of actions of every kind arising directly or indirectly with the use of this Contract. WDB makes no guarantee of usage by other users of this contract nor shall the WDB incur any financial responsibility in connection with any contracts entered into by another public agency. Such other public agency shall accept sole responsibility for placing orders and making payments to Contractor.

34. Disbarment or Suspension of Contractor

- a. Contractor represents that its officers, directors and employees (1) are not currently excluded, debarred or otherwise ineligible to participate in the federal health programs as defined in 42 WSC § 1320a-7b(f) (the "Federal Healthcare Programs") or any state healthcare programs; (2) have not been convicted of a criminal offense related to the provision of healthcare items or services but or previously excluded, debarred, or otherwise declared ineligible to participate in the Federal Healthcare Programs or any state healthcare programs and (3) are not, to the best of its knowledge, under investigation or otherwise aware of any circumstances which may result in Contractor being excluded for participation in Federal healthcare programs or any state healthcare programs.
- b. This representation and warranty shall be an ongoing representation and warranty during the term of this Contract and Contractor must immediately notify the WDB of any change in the status of the representation and warranty set forth in this section.
- c. If services pursuant to this Contract involve healthcare programs, Contractor agrees to provide certification of non-suspension with submission of each invoice. Failure to submit certification with invoices will result in a delay in WDB processing of Contractor's payment.

35. Execution of Counterparts

This contract may be executed in two (2) or more counterparts, each of which together shall be deemed an original, but all of which together shall constitute one and the same instrument, it being understood that all parties need not sign the same counterpart. In the event that any signature is delivered by facsimile or electronic transmission (e.g., by email delivery of a ".pdf" format data file), such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or electronic signature pay were an original signature.

36. Entire Contract

This Contract, including any exhibits referenced, constitutes the entire agreement between the parties and there are no inducements, promises, terms, conditions or obligations made or entered into by WDB or Contractor other than those contained in it.

**EXHIBIT D
SPECIAL TERMS AND CONDITIONS**

1. CONTRACT EXTENSION

Notwithstanding Section 2 of the Service Agreement Contract, and unless terminated by either party prior to September 30, 2017, this Contract shall be automatically extended from October 1, 2017 through December 31, 2017 to allow for continuation of services and sufficient time to complete novation or renewal contract.

2. SPECIAL RESPONSIBILITIES OF CONTRACTOR

- Submit verification of non-profit status, if a requirement for the award of this Contract;
- Provide an audit report, including a management letter to the WDB annually;
- Conduct an audit, at Contractor's expense, according to the requirements of OMB Circular A-133, which identifies all funds granted, received, disbursed and expended and provide the audit to WDB within 30 days of Completion;
- Provide an unaudited statement of revenue and expenditures to WDB within 30 days of completion of the project if funds awarded to Contractor are \$100,000 or less.

3. CONFIDENTIALITY OF MENTAL HEALTH RECORDS (if applicable)

Contractor warrants that Contractor is knowledgeable of Welfare and Institutions Code section 5328 respecting confidentiality of records. WDB and Contractor shall maintain the confidentiality of any information regarding clients (or families) receiving Contractor's services. Contractor may obtain such information from application forms, interviews, tests or reports from public agencies, counselors or any other source. Without the client's written permission, Contractor shall divulge such information only as necessary for purposes related to the performance or evaluation of services provided pursuant to this Contract, and then only to those persons having responsibilities under this Contract, including those furnishing services under Contractor through subcontractors.

4. CONFIDENTIAL RECORDS (if applicable)

Contractor acknowledges that any information generated, received or disseminated pursuant to its performance under this Contract is confidential and shall not be disclosed in any manner unless authorized by law. Further, Contractor warrants that Contractor is knowledgeable of Welfare and Institutions Code sections applicable to the subject of this Contract, particularly, sections 10850 and 11478.1 and will abide by its requirements.

5. PUBLICATIONS, PRESENTATIONS AND PRODUCT DEVELOPMENT

The Contractor and every officer, director, employee, or agent of the Contractor, in making presentations to the media, in any discussion of the activities conducted under this Contract in any public forum, in publishing work, or producing or creating any product or material for either public or private use relating to the scope of work described in this Contract, shall conspicuously acknowledge the WDB's participation in these activities and that such activities and related products and materials supported by funds

provided through this Contract with the WDB. The WDB reserves the right of approval of all publications, public presentations, and product development. Copies of all publications and notifications of public presentations and product or materials availability shall immediately be sent to the WDB's President/Executive Director for prior approval.

6. **RIGHTS IN DATA AND COPYRIGHTS**

The WDB shall retain all rights in data, reports, and other products resulting from services provided by the Contractor through this Contract, unless otherwise agreed in writing. Contractor relinquishes any and all copyrights to any materials produced in whole or in part, under the terms of this Contract. WDB is entitled to publish reports and/or documents. All reports, data and other materials prepared under this Contract shall become the exclusive property of the WDB upon submission to the WDB.

7. **FACILITIES AND EQUIPMENT**

Except as provided Contractor shall, at its sole cost and expense, furnish all facilities and equipment which may be required for providing services pursuant to this Contract. The WDB shall furnish physical facilities, such as desks and conference space, as may be reasonably necessary for the Contractor's use while consulting with WDB employees, performance of some deliverables outlined within this contract, and when reviewing records and information in possession of the WDB. The location, quality, and time of furnishing the facilities shall be at the sole discretion of the WDB. In no event shall the WDB be obligated to furnish any facility which may involve incurring any direct expense, including but not limiting the generality of this exclusion to, long-distance telephone or other communication charges, vehicles and reproduction facilities.

Racy Ming

5291 Country Lane
San Jose, CA 95129
(707) 888-4919
racy.ming@gmail.com

Summary: Well-seasoned workforce development professional with significant experience working with business leaders and public officials. Ability to strategically address complex programmatic and administrative challenges with a high degree of initiative and politically sound judgment. Long record of cultivating and nurturing successful partnerships across a wide range of stakeholders and organizations, locally, regionally, and at the state level. Ability to head large teams and develop new leaders.

**Leadership/
Management
Experience:** **Division Director, Social Services
Marin County Health and Human Services
San Rafael, CA**

January 2012 – June 2016

Division Director for Employment and Training programs, leading a 50 person staff to administer Workforce Innovation and Opportunity Act, Marin Employment Connection, TANF Welfare-to-Work (CalWORKs), and General Assistance programs. Director of Marin County Workforce Development Board, a 27-member board of business and community leaders who provide policy oversight to Marin's workforce development system. Oversight of \$12 million annual budget.

- Partner with Probation, Sheriff, Public Defender to reduce criminogenic factors for adults and youth through employment and job readiness activities
- Spearheaded formation of Joint Powers Authority with Napa County for a regional Workforce Development Board, in response to new federal legislation and state initiatives
- Headed team of public transit agencies, economic development and elected officials to improve transit options for low wage workers, resulting in bus route changes

**Fulbright Scholar, Criminal Justice and Police Science Award
Centre for Economic and Social Inclusion
London, United Kingdom**

Mar 2015 – July 2015

Selected to take part in the US State Department's Core Fulbright Scholar Program, a competitive, merit-based program for international educational exchange. Based at the Center for Economic and Social Inclusion (now Learning and Work Institute), London.

- Researched privatization of the National Probation Service, homelessness, safety net programs, and the ways that the UK assists ex-offenders in finding employment
- Analyzed and made recommendations regarding the supervision requirements for short term sentenced offenders for the Probation Office serving Kent, Surrey, and Sussex

**Program Manager
Marin County Health and Human Services
San Rafael, CA**

2004 –2012

Responsible for the Marin Employment Connection and Workforce Investment Act (WIA) programs, which annually served up to 15,000+ visits from the public, several hundred enrolled clients and Marin County businesses. Staff of 15 and annual budget of up to \$3 million.

- Initiated partnership with Probation Department to form countywide Reentry Taskforce, resulting in new and expanded services for youth and adults in reentry

- Successfully deployed American Recovery and Reinvestment Act funds during the recession of 2008-10
- Partnered regionally with other North Bay counties to successfully write grant proposals and provide oversight of projects to train healthcare workers, people with disabilities, green construction workers

**Research &
Evaluation
Experience:**

Planner/Evaluator

2001 - 2004

**Marin County Health and Human Services
San Rafael, CA**

- Developed system to track real time performance data; began producing Year End report to analyze, interpret, and evaluate data
- Managed grant budgets and developed funding proposals

Institutional Research Analyst

1999 -2001

**Mills College
Oakland, CA**

- Performed project administration and research for liberal arts college
- Designed projects and surveys, analyzed data, wrote reports and made presentations on retention, graduation rates, staff satisfaction, and other areas

Research Analyst

1998 -1999

**SRI International, Center for Education and Human Services
Menlo Park, CA**

- Conducted quantitative/qualitative data analysis and evaluation for national, state and local projects
- Authored final report to the San Francisco Unified School District analyzing market research on effective ways to distribute information to parents.

Education:

Stanford University

Masters of Education, Policy and Administration

Bachelor of Art, Psychology with Honors in Education

**Community
Leadership:**

**Center for Volunteer and Nonprofit Leadership, San Rafael, CA
Board Member, 2014-2015**

**California Reentry Program, San Quentin State Prison, San Quentin, CA
Chair of the Board, 2006-2010**

**Human Services Commission, Sonoma County, CA
Board of Supervisors appointed Commissioner, 2005-2007**

**California Student Aid Commission, State of California
Loan Advisory Council Member, 1997-2000**

Selected Publications
And Media: KQED Radio, Perspective Series. March 21, 2014. "The Answer to Evil." Host: Racy M Copley.
<http://www.kqed.org/a/perspectives/R201403210735>

KQED Radio, Perspective Series. September 23, 2008. "Life Sentences, On the Installment Plan."
Host: Racy Ming. <http://www.kqed.org/epArchive/R809230737>

Ming, R. (2002). Desegregation in a diverse and competitive environment: Admissions at Lowell
High School. Urban Education, Vol. 37, Number 2.

Selected
Presentations: California Workforce Association
Annual Youth Conference, January 2016
Plenary speaker: "More is More: Don't Overlook the Low Hanging Fruit"

San Francisco Mayor's Office of Workforce and Economic Development
Workforce Leaders Peer Learning Group, Fall 2012
Guest speaker: "Strategic Partnerships in Serving Ex-Offenders"

San Rafael Leadership Institute
Fall 2011 and Fall 2012
Guest speaker: "Workforce Trends in the United States, California, and Marin"

San Francisco Mayor's Office of Workforce and Economic Development
Capacity Building Initiative Conference, Spring 2011
Workshop presentation: "Understanding Workforce Investment Act Performance"

California Workforce Association
Meeting of the Minds Conference, September 2009
Workshop presentation: "Reducing Recidivism through Employment"

American Educational Research Association
Annual Meeting April 2001
Paper presentation: "Desegregation Politics in a Competitive Admissions Setting"